

STATEMENT UNDER 37 CFR § 3.73(b)  
ESTABLISHMENT OF ASSIGNEE

Applicant : Goddard et al.  
App. No. : 10/063,569  
Filed : May 2, 2002  
For : SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME  
Examiner : Stephen Gucker  
Group Art Unit : 1649

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This document is being filed with a copy of a "Revocation and General Power of Attorney" signed by the Assignee and sets forth the chain of title of the above-identified application.

Please recognize or change the correspondence address for the above-identified application to **Customer No. 30,313**.

Genentech, Inc., a Delaware Corporation, is the Assignee of the entire right, title, and interest of the above-referenced application by virtue of:

The Assignment from the inventor(s) to the Assignee recorded in the United States Patent and Trademark Office on December 6, 2001, at Reel 012377, and Frame 0266.

The undersigned is an agent of Customer Number 30,313 and is authorized to act on behalf of the assignee as provided in the attached copy of the "Revocation and Power of Attorney." All correspondence is to be directed to **Customer No. 30,313**.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/9/2007

By: M.T. Morley  
Marc T. Morley  
Registration No. 52,051  
Attorney of Record  
Customer No. 30,313  
(619) 235-8550



COPY

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PATENT  
JUL 18 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eaton et al.  
Appl. No. : Listed Below  
Filed : Listed Below  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPETIDES AND  
NUCLEIC ACIDS ENCODING  
THE SAME  
Examiner : UNKNOWN

) Group Art Unit Unknown  
) I hereby certify that this correspondence and all  
) marked attachments are being deposited with the  
) United States Postal Service as first-class mail in  
) an envelope addressed to: United States Patent  
) and Trademark Office, P.O. Box 2327,  
) Arlington, VA 22202, on

) July 2, 2002

) (Date)

) *Adeel S. Akhtar*  
) Adeel S. Akhtar, Reg. No. 41,394

REVOCATION AND POWER OF ATTORNEY AND CHANGE OF ADDRESS

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The original assignment is recorded at Reel 012377 and Frame 0266 and a new assignment is recorded at Reel 012643 and Frame 0431. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints Elizabeth M. Barnes, Reg. No. 35,059 and other registrants of Customer No. 09157 as its attorneys and the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone

Appl. No. : Listed Below  
Filed : Listed Below

(619) 235-8550, Customer No. 30, 313, as its associate attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please correct the address for all future communications relative to the below referenced pending patent application to the following address:

AnneMarie Kaiser  
Knobbe Martens Olson & Bear  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
Telephone: 619-235-8550

<u>Appln. No.</u>	<u>Appln. Date</u>	<u>Docket No.</u>
10/006867	Dec 06 2001	P3230R1C001
10/063502	Apr 30 2002	P3230R1C002
10/063510	May 01 2002	P3230R1C003
10/063512	May 01 2002	P3230R1C004
10/063513	May 01 2002	P3230R1C005
10/063514	May 01 2002	P3230R1C006
10/063515	May 01 2002	P3230R1C007
10/063516	May 01 2002	P3230R1C008
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10/063540	May 02 2002	P3230R1C027
10/063541	May 02 2002	P3230R1C028
10/063544	May 02 2002	P3230R1C029

**Appl. No.** : **Listed Below**  
**Filed** : **Listed Below**

<u>Appln. No.</u>	<u>Appln. Date</u>	<u>Docket No.</u>
10/063545	May 02 2002	P3230R1C030
10/063546	May 02 2002	P3230R1C031
10/063547	May 02 2002	P3230R1C032
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Appl. No. : Listed Below  
 Filed : Listed Below

<u>Appln. No.</u>	<u>Appln. Date</u>	<u>Docket No.</u>
10/063607	May 03 2002	P3230R1C077
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Appl. No. : Listed Below  
Filed : Listed Below

<u>Appln. No.</u>	<u>Appln. Date</u>	<u>Docket No.</u>
10/063688	May 08 2002	P3230R1C124
10/063689	May 08 2002	P3230R1C125
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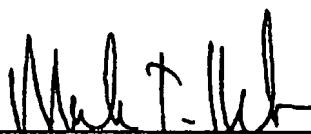
**Appl. No.** : **Listed Below**  
**Filed** : **Listed Below**

Please use Customer No. 30, 313 for all communications.

Respectfully submitted,

GENENTECH, INC.

Dated: 6-25-02

By:   
Mark Kresnak  
Registration No. 42,767

Title: Section Patent Counsel

Address: 1 DNA Way  
South San Francisco, CA 94080

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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

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FEBRUARY 08, 2002

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Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

GENENTECH, INC.  
ELIZABETH M. BARNES, PH.D.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080

RECEIVED

FEB 19 2002

\*101922805A\*

GENENTECH, INC.  
UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/06/2001

REEL/FRAME: 012377/0266  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EATON, DAN L.

DOC DATE: 10/02/2001

ASSIGNOR:

FILVAROFF, ELLEN

DOC DATE: 10/01/2001

ASSIGNOR:

GERRITSEN, MARY E.

DOC DATE: 10/15/2001

ASSIGNOR:

GODDARD, AUDREY

DOC DATE: 10/01/2001

ASSIGNOR:

GODOWSKI, PAUL J.

DOC DATE: 10/01/2001

ASSIGNOR:

GRIMALDI, J. CHRISTOPHER

DOC DATE: 10/02/2001

ASSIGNOR:

GURNEY, AUSTIN L.

DOC DATE: 10/01/2001



012377/0266 PAGE 2

ASSIGNOR:

WATANABE, COLIN K.

DOC DATE: 10/02/2001

ASSIGNOR:

WOOD, WILLIAM I.

DOC DATE: 10/03/2001

ASSIGNEE:

GENENTECH, INC.

1 DNA WAY

SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

SERIAL NUMBER: 10006867

FILING DATE: 12/06/2001

PATENT NUMBER:

ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-21-2001

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Atty Dkt No: P3230R1C1

Assistant Commissioner

101922805

nts or copy thereof.

## 1. Name of conveying party(ies):

Dan L. Eaton; Ellen Filvaroff; Mary E. Gerritsen;  
Audrey Goddard, Paul J. Godowski; J. Christopher  
Grimaldi; Austin L. Gurney; Colin K. Watanabe;  
William I. Wood

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA WayCity: South San Francisco State: CaliforniaZIP: 94080-4990Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ AssignmentExecution Date: 10/02/01; 10/01/01; 10/15/01; 10/01/01;10/01/01; 10/02/01; 10/01/01; 10/02/01 & 10/03/01,respectively.

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 15, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Elizabeth M. Barnes, Ph.D.  
GENENTECH, INC.  
1 DNA Way  
South San Francisco, CA 94080

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-0630

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.

Elizabeth M. Barnes, Ph.D.

Name of Person Signing  
Registration No. 35,059

*Elizabeth M. Barnes*  
Signature

December 6, 2001

Date

12/20/2001 DBYRNE 00000017 070630 10006867

01 FC:581

40.00 CH

Total number of pages comprising cover sheet, attachments and document: 4

**ASSIGNMENT**

WHEREAS,

Dan L. Eaton, a citizen of US, residing at 75 Knight Drive, San Rafael, CA 94901, and  
Ellen Filvaroff, a citizen of US, residing at 538 18<sup>th</sup> Avenue, San Francisco, CA 94121, and  
Mary E. Gerritsen, a citizen of CA, residing at 541 Parrott Drive, San Mateo, CA 94402, and  
Audrey Goddard, a citizen of CA, residing at 110 Congo Street, San Francisco, CA 94131, and  
Paul J. Godowski, a citizen of US, residing at 25 Orange Court, Hillsborough, CA 94010, and  
J. Christopher Grimaldi, a citizen of US, residing at 1434 36<sup>th</sup> Ave., San Francisco, CA 94122, and  
Austin L. Gurney, a citizen of US, residing at 1 Debbie Lane, Belmont, CA 94002, and  
Colin K. Watanabe, a citizen of US, residing at 128 Corliss Drive, Moraga, CA 94556, and  
William I. Wood, a citizen of US residing at 35 Southdown Court, Hillsborough, CA 94010  
(hereinafter "ASSIGNORS"), have invented a new and useful invention in

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING THE SAME**

for which an application is being filed herewith (Docket No. P3230R1C1); and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 10/2/01

  
Dan L. Eaton

South San Francisco

Dated: 10/1/01

  
Ellen Filvaroff

South San Francisco

Dated: \_\_\_\_\_

\_\_\_\_\_  
Mary E. Gerritsen


South San Francisco

Dated: 10/1/01

  
Audrey Goddard

South San Francisco

Dated: 10/1/01

  
Paul J. Godowski


South San Francisco

Dated: 10/2/01

  
J. Christopher Grimaldi

South San Francisco

Dated: 10/1/01

  
Austin L. Gurney


South San Francisco

Dated: 10.2.2001

  
Colin K. Watanabe

South San Francisco

Dated: 10/3/01

  
William I. Wood

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

\_\_\_\_\_  
Dan L. Eaton

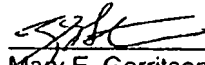
Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Ellen Filvaroff

Dated: \_\_\_\_\_

South San Francisco

  
\_\_\_\_\_  
Mary E. Gerritsen

Dated: Oct 15, 2001

South San Francisco

\_\_\_\_\_  
Audrey Goddard

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Paul J. Godowski

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
J. Christopher Grimaldi

Dated: \_\_\_\_\_

South San Francisco

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Austin L. Gurney

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
Colin K. Watanabe

Dated: \_\_\_\_\_

South San Francisco

\_\_\_\_\_  
William I. Wood

Dated: \_\_\_\_\_